

# 6.035

## Lecture 1: Introduction

# Staff

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# Reference Textbooks

- *Modern Compiler Implementation in Java (Tiger book)*  
A.W. Appel  
Cambridge University Press, 1998  
ISBN 0-52158-388-8

*A textbook tutorial on compiler implementation, including techniques for many language features*

- *Advanced Compiler Design and Implementation (Whale book)*  
Steven Muchnick  
Morgan Kaufman Publishers, 1997  
ISBN 1-55860-320-4

*Essentially a recipe book of optimizations; very complete and suited for industrial practitioners and researchers.*

- *Compilers: Principles, Techniques and Tools (Dragon book)*  
Aho, Lam, Sethi and Ullman  
Addison-Wesley, 2006  
ISBN 0321486811

*The classic compilers textbook, although its front-end emphasis reflects its age. New edition has more optimization material.*

- *Engineering a Compiler (Ark book)*  
Keith D. Cooper, Linda Torczon  
Morgan Kaufman Publishers, 2003  
ISBN 1-55860-698-X

*A modern classroom textbook, with increased emphasis on the back-end and implementation techniques.*

- *Optimizing Compilers for Modern Architectures*  
Randy Allen and Ken Kennedy  
Morgan Kaufman Publishers, 2001  
ISBN 1-55860-286-0

*A modern textbook that focuses on optimizations including parallelization and memory hierarchy optimization*

# The Project: The Five Segments

- ① Lexical and Syntax Analysis
- ② Semantic Analysis
- ③ Code Generation
- ④ Dataflow Analysis
- ⑤ Optimizations

# Each Segment...

- Segment Start
  - Project Description
- Lectures
  - 2 to 5 lectures
- Project Time
  - (Design Document)
  - (Project Checkpoint)
- Project Due

# Project Groups

- 1<sup>st</sup> project is an individual project
- Projects 2 to 5 are group projects
- Each group consists of 3 to 4 students
- Projects are designed to produce a compiler by the end of class
- Grading
  - All group members (mostly) get the same grade
  - Scanner/parser ungraded  
(you can use this to evaluate potential group members)
  - Semantic Checker/Code Generator graded together
  - Dataflow Analyzer/Optimizer graded together
  - 5 turnins total, 2 turnins are graded

# Quizzes

- Three Quizzes
- **In-Class Quiz**
  - 50 Minutes (be on time!)
  - Open book, open notes

# Mini Quizzes

- You already got one
  - Given at the beginning of the class
  - Collected at the end
  - Collaboration is OK
- 
- This is in lieu of time consuming problem sets

# Grading Breakdown

- Project = 70% of grade
  - 25% Semantic Checker/Code Generator
  - 45% Dataflow Analyzer/Optimizer
- Quizzes – 24%, 8% each
- Miniquizzes/class participation – 6%

# More Course Stuff

- Blank page project – all the rope you what!
- Challenging project
- You are on your own!
- Accepted Languages
  - Java
  - Scala (people have done well with this language)
  - Haskell

# Why Study Compilers?

- Compilers enable programming at a high level language instead of machine instructions.
  - Malleability, Portability, Modularity, Simplicity, Programmer Productivity
  - Also Efficiency and Performance
- Indispensible programmer productivity tool
- One of most complex software systems to build

# **Compilers Construction touches many topics in Computer Science**

- Theory
  - Finite State Automata, Grammars and Parsing, data-flow
- Algorithms
  - Graph manipulation, dynamic programming
- Data structures
  - Symbol tables, abstract syntax trees
- Systems
  - Allocation and naming, multi-pass systems, compiler construction
- Computer Architecture
  - Memory hierarchy, instruction selection, interlocks and latencies, parallelism
- Security
  - Detection of and Protection against vulnerabilities
- Software Engineering
  - Software development environments, debugging
- Artificial Intelligence
  - Heuristic based search for best optimizations

# What a Compiler Does

- Input: High-level programming language
- Output: Low-level assembly instructions
- Compiler does the translation:
  - Read and understand the program
  - Precisely determine what actions it requires
  - Figure-out how to faithfully carry out those actions
  - Instruct the computer to carry out those actions

# Input to the Compiler

- Standard imperative language (Java, C, C++)
  - State
    - Variables,
    - Structures,
    - Arrays
  - Computation
    - Expressions (arithmetic, logical, etc.)
    - Assignment statements
    - Control flow (conditionals, loops)
    - Procedures

# Output of the Compiler

- State
  - Registers
  - Memory with Flat Address Space
- Machine code – load/store architecture
  - Load, store instructions
  - Arithmetic, logical operations on registers
  - Branch instructions

# Example (input program)

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

# Example (Output assembly code)

```
sumcalc:  
    pushq  %rbp  
    movq  %rsp, %rbp  
    movl  %edi, -4(%rbp)  
    movl  %esi, -8(%rbp)  
    movl  %edx, -12(%rbp)  
    movl  $0, -20(%rbp)  
    movl  $0, -24(%rbp)  
    movl  $0, -16(%rbp)  
.L2:   movl  -16(%rbp), %eax  
    cmpl  -12(%rbp), %eax  
    jg    .L3  
    movl  -4(%rbp), %eax  
    leal  0(%rax,4), %edx  
    leaq  -8(%rbp), %rax  
    movq  %rax, -40(%rbp)  
    movl  %edx, %eax  
    movq  -40(%rbp), %rcx  
    cltd  
    idivl (%rcx)  
    movl  %eax, -28(%rbp)  
    movl  -28(%rbp), %edx  
    imull -16(%rbp), %edx  
    movl  -16(%rbp), %eax  
    incl  %eax  
    imull %eax, %eax  
    addl  %eax, %edx  
    leaq  -20(%rbp), %rax  
    addl  %edx, (%rax)  
    movl  -8(%rbp), %eax  
    movl  %eax, %edx  
    imull -24(%rbp), %edx  
    leaq  -20(%rbp), %rax  
    addl  %edx, (%rax)  
    leaq  -16(%rbp), %rax  
    incl  (%rax)  
    jmp   .L2  
.L3:   movl  -20(%rbp), %eax  
    leave  
    ret  
  
.size  sumcalc, .-sumcalc  
.section  
.Lframe1:  
    .long  .LECIE1-.LSCIE1  
.LSCIE1:.long  0x0  
    .byte  0x1  
    .string  ""  
    .uleb128 0x1  
    .sleb128 -8  
    .byte  0x10  
    .byte  0xc  
    .uleb128 0x7  
    .uleb128 0x8  
    .byte  0x90  
    .uleb128 0x1  
    .align  8  
.LECIE1:.long  .LEFDE1-.LASFDE1  
    .long  .LASFDE1-.Lframe1  
    .quad  .LFB2  
    .quad  .LFE2-.LFB2  
    .byte  0x4  
    .long  .LCFI0-.LFB2  
    .byte  0xe  
    .uleb128 0x10  
    .byte  0x86  
    .uleb128 0x2  
    .byte  0x4  
    .long  .LCFI1-.LCFI0  
    .byte  0xd  
    .uleb128 0x6  
    .align  8
```

# Optimization Example

```
int sumcalc(int a, int b, int N)
{
    int i;
    int x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

```
pushq    %rbp
movq    %rsp, %rbp
movl    %edi, -4(%rbp)
movl    %esi, -8(%rbp)
movl    %edx, -12(%rbp)
movl    $0, -20(%rbp)
movl    $0, -24(%rbp)
movl    $0, -16(%rbp)
.L2:   movl    -16(%rbp), %eax
      cmpl    -12(%rbp), %eax
      jg     .L3
      movl    -4(%rbp), %eax
      leal    0(%rax, 4), %edx
      leaq    -8(%rbp), %rax
      movq    %rax, -40(%rbp)
      movl    %edx, %eax
      movq    -40(%rbp), %rcx
      cltd
      idivl   (%rcx)
      movl    %eax, -28(%rbp)
      movl    -28(%rbp), %edx
      imull   -16(%rbp), %edx
      movl    -16(%rbp), %eax
      incl    %eax
      imull   %eax, %eax
      addl    %eax, %edx
      leaq    -20(%rbp), %rax
      addl    %edx, (%rax)
      movl    -8(%rbp), %eax
      movl    %eax, %edx
      imull   -24(%rbp), %edx
      leaq    -20(%rbp), %rax
      addl    %edx, (%rax)
      leaq    -16(%rbp), %rax
      incl    (%rax)
      jmp     .L2
.L3:   movl    -20(%rbp), %eax
      leave
      ret
```

# Lets Optimize...

```
int sumcalc(int a, int b, int N)
{
    int i, x, y;
    x = 0;
    y = 0;
    for(i = 0; i <= N; i++) {
        x = x + (4*a/b)*i + (i+1)*(i+1);
        x = x + b*y;
    }
    return x;
}
```

# Constant Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*y;  
}  
return x;
```

# Constant Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*y;  
}  
return x;
```

# Constant Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*0;  
}  
return x;
```

# Algebraic Simplification

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*0;  
}  
return x;
```

# Algebraic Simplification

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x + b*0;  
}  
return x;
```

# Algebraic Simplification

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x;  
}  
return x;
```

# Copy Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x;  
}  
return x;
```

# Copy Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
    x = x;  
}  
return x;
```

# Copy Propagation

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
}  
return x;
```

# Common Subexpression Elimination

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
}  
return x;
```

# Common Subexpression Elimination

```
int i, x, y;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    x = x + (4*a/b)*i + (i+1)*(i+1);  
}  
return x;
```

# Common Subexpression Elimination

```
int i, x, y, t;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Dead Code Elimination

```
int i, x, y, t;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Dead Code Elimination

```
int i, x, y, t;  
x = 0;  
y = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Dead Code Elimination

```
int i, x, t;  
x = 0;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Loop Invariant Removal

```
int i, x, t;  
x = 0;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Loop Invariant Removal

```
int i, x, t;  
x = 0;  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + (4*a/b)*i + t*t;  
}  
return x;
```

# Loop Invariant Removal

```
int i, x, t, u;  
x = 0;  
u = (4*a/b);  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + u*i + t*t;  
}  
return x;
```

# Strength Reduction

```
int i, x, t, u;  
x = 0;  
u = (4*a/b);  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + u*i + t*t;  
  
}  
return x;
```

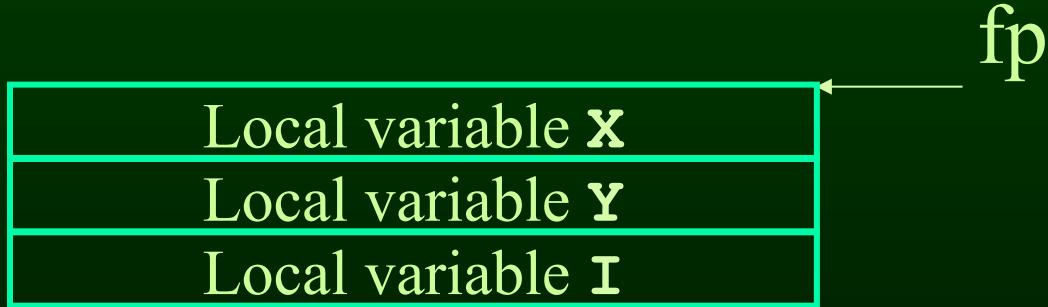
# Strength Reduction

```
int i, x, t, u;  
x = 0;  
u = (4*a/b);  
  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + u*i + t*t;  
}  
return x;
```

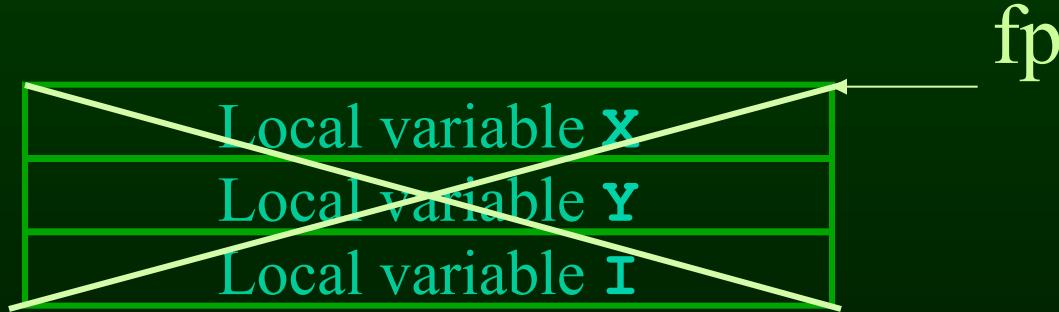
# Strength Reduction

```
int i, x, t, u, v;  
x = 0;  
u = ((a<<2)/b);  
v = 0;  
for(i = 0; i <= N; i++) {  
    t = i+1;  
    x = x + v + t*t;  
    v = v + u;  
}  
return x;
```

# Register Allocation



# Register Allocation



```
$r8d = x  
$r9d = t  
$r10d = u  
$ebx = v  
$ecx = i
```

# Optimized Example

```
int sumcalc(int a, int b, int N)
{
    int i, x, t, u, v;
    x = 0;
    u = ((a<<2) / b);
    v = 0;
    for(i = 0; i <= N; i++) {
        t = i+1;
        x = x + v + t*t;
        v = v + u;
    }
    return x;
}
```

# Unoptimized Code

```
pushq  %rbp
movq  %rsp, %rbp
movl  %edi, -4(%rbp)
movl  %esi, -8(%rbp)
movl  %edx, -12(%rbp)
movl  $0, -20(%rbp)
movl  $0, -24(%rbp)
movl  $0, -16(%rbp)
.L2:   movl  -16(%rbp), %eax
cmpl  -12(%rbp), %eax
jg    .L3
movl  -4(%rbp), %eax
leal  0(%rax,4), %edx
leaq  -8(%rbp), %rax
movq  %rax, -40(%rbp)
movl  %edx, %eax
movq  -40(%rbp), %rcx
cltd
idivl (%rcx)
movl  %eax, -28(%rbp)
movl  -28(%rbp), %edx
imull -16(%rbp), %edx
movl  -16(%rbp), %eax
incl  %eax
imull %eax, %eax
addl %eax, %edx
leaq  -20(%rbp), %rax
addl %edx, (%rax)
movl  -8(%rbp), %eax
movl  %eax, %edx
imull -24(%rbp), %edx
leaq  -20(%rbp), %rax
addl %edx, (%rax)
leaq  -16(%rbp), %rax
incl  (%rax)
.L2
jmp  .L2
.L3:   movl  -20(%rbp), %eax
leave
ret
```

## Inner Loop:

$$\begin{aligned} & 10 \text{*} \text{mov} + 5 \text{*} \text{lea} + 5 \text{*} \text{add/inc} \\ & + 4 \text{*} \text{div/mul} + 5 \text{*} \text{cmp/br/jmp} \\ & = 29 \text{ instructions} \end{aligned}$$

Execution time = 43 sec

# Optimized Code

```
xorl  %r8d, %r8d
xorl  %ecx, %ecx
movl  %edx, %r9d
cmpl  %edx, %r8d
jg    .L7
sall  $2, %edi
movl  %edi, %eax
cltd
idivl %esi
leal  1(%rcx), %edx
movl  %eax, %r10d
imull %ecx, %r10d
movl  %edx, %ecx
imull %edx, %ecx
leal  (%r10,%rcx), %eax
movl  %edx, %ecx
addl %eax, %r8d
cmpl %r9d, %edx
jle   .L5
.L5:   movl  %r8d, %eax
ret
```

$$\begin{aligned} & 4 \text{*} \text{mov} + 2 \text{*} \text{lea} + 1 \text{*} \text{add/inc} + \\ & 3 \text{*} \text{div/mul} + 2 \text{*} \text{cmp/br/jmp} \\ & = 12 \text{ instructions} \end{aligned}$$

Execution time = 17 sec

# Compilers Optimize Programs for...

- Performance/Speed
- Code Size
- Power Consumption
- Fast/Efficient Compilation
- Security/Reliability
- Debugging